GUOTAI JUNAN SECURITIES CO., LTD

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1 167,162,280

2 9.12 /

3 1,524,519,993.60

4 1,492,220,406.67

2017 6 26

2,212,627,938

167,162,280 167,162,280

2,379,790,218

167,162,280 2017 7 4

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		10 16,716.2280 A

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1,524,519,993.60

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1 167,162,280

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1,524,519,993.60 32,490,399.87

1,492,029,593.73

1,747,162.28 1,490,282,431.45

1,937,975.22 1,492,220,406.67

167,162,280.00 1,325,058,126.67

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9.12 / 76,743,420

699,899,990.40

[2017]64 2016

167,162,280 1,524,519,993.60

824,620,003.20

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9:00-17:00 2017 6 14 9:00-12:00 7

90,418,860

824,620,003.20 9.12 / 167,162,280

1,524,519,993.60

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20 92.31%

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1			9.82	215,000,000.00	
			9.42	243,900,000.00	
			9.12	246,900,000.00	
2			9.41	188,000,000.00	
			9.28	245,000,000.00	
			9.12	300,500,000.00	
3			9.12	152,500,000.00	

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			76,743,420.00	699,899,990.40	100.00	-

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9.12 / 76,743,420

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167,162,280 1,524,519,993.60

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1		3,289,473	29,999,993.76		
2		16,660,000	151,939,200.00		
3		3,289,473	29,999,993.76		
4		32,565,789	296,999,995.68		
5		21,929,824	199,999,994.88		

6		22,653,501	206,599,929.12

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					%	
1		55			100.00	14,999,992.32

					%	
		50			100.00	496,999,990.56
		6			100.00	28,900,012.32
		- 3			100.00	2,999,996.64
						543,899,991.84
					100.00	24,899,998.56
					14.00	153,999.96
					10.00	109,999.97
					6.67	73,333.31
					6.67	73,333.31
					6.00	65,999.98
					5.00	54,999.98
					5.00	54,999.98
					3.33	36,666.66
					3.33	36,666.66
					3.33	36,629.99
					36.67	403,369.88
					100.00	1,099,999.68
					100.00	1,899,996.96
					9.07	1,178,469.76
					2.83	368,271.80
					2.83	368,271.80
					2.78	360,906.36
					1.70	220,963.08

2		6		11		
		1		99		

					%	
					1.70	220,963.08
					1.70	220,963.08
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					1.70	220,963.08
				111	72.29	9,398,296.35
					100.00	12,999,994.56
				1	99.50	14,925,001.43
		1195			0.50	75,000.01
					100.00	15,000,001.44
					11.31	282,869.63
					4.73	118,239.50
					4.57	114,279.33
					4.57	114,279.33
					4.57	114,279.33
					4.57	114,279.33
2		1			4.57	114,279.33
					4.53	113,147.85
					4.53	113,147.85
					3.39	84,860.89
				21	48.65	1,216,339.39
					100.00	2,500,001.76
		1		.	100.00	34,999,997.28
		5		3	100.00	24,999,999.36
					85.00	8,499,998.23
					15.00	1,499,999.69
					100.00	9,999,997.92

					%	
2		26			27.42	1,096,801.27
				1	25.81	1,032,401.20
				2	24.19	967,601.12
				3	14.52	580,800.67
				4	8.06	322,400.37
					100.00	4,000,004.64
		27		3	26.18	994,840.80
				4	23.82	905,160.72
					21.47	815,860.65
					12.83	487,540.39
					7.85	298,300.24
					7.85	298,300.24
					100.00	3,800,003.04
		50		1	25.00	799,999.56
				2	25.00	799,999.56
					15.43	493,759.73

					%	
2		19			8.91	356,400.41
					6.68	267,200.31
					6.68	267,200.31
					5.57	222,800.26
					4.45	178,000.21
					4.45	178,000.21
					4.45	178,000.21
					4.45	178,000.21
					4.45	178,000.21
					2.90	116,000.13
				21	47.01	1,880,402.18
					100.00	4,000,004.64
		1			32.47	1,461,149.84
					19.48	876,599.91
					8.12	365,399.96
					6.49	292,049.97
					6.49	292,049.97
					5.52	248,399.97
					3.57	160,649.98
					3.25	146,249.98
					3.25	146,249.98
					3.25	146,249.98
				3	8.11	364,949.96
					100.00	4,499,999.52
		11			50.00	2,000,002.32
				1	14.72	588,800.68
				1	8.82	352,800.41
				2	8.82	352,800.41

					%	
				3	8.82	352,800.41
				4	8.82	352,800.41
					100.00	4,000,004.64
		4			98.64	9,863,988.95
					1.36	135,905.84
					100.00	9,999,988.80
		5			97.75	5,864,993.43
					2.25	134,999.85
					100.00	5,999,993.28
		1		. 1	100.00	24,000,000.48
2		1152			34.38	687,499.23
					31.25	624,999.30
					12.50	249,999.72
					9.38	187,499.79
					6.25	124,999.86
					6.25	124,999.86
					100.00	1,999,997.76
		1177			7.43	163,366.29
					4.95	108,910.86
					4.46	98,019.77
					3.71	81,683.14
					3.71	81,683.14
					3.22	70,792.06
					2.97	65,346.52
					2.72	59,900.97
					2.48	54,455.43
					2.48	54,455.43
				25	61.88	1,361,385.74
					100.00	2,199,999.36

					%	
2		1095			10.57	348,837.11
					6.34	209,302.26
					6.34	209,302.26
					6.34	209,302.26
					6.34	209,302.26
					5.50	181,395.30
					4.86	160,465.07
					4.23	139,534.84
					4.23	139,534.84
					4.23	139,534.84
				18	41.01	1,353,487.98
					100.00	3,299,999.04
		1176			8.52	213,068.33
					5.68	142,045.55
					5.68	142,045.55
					5.68	142,045.55
					5.68	142,045.55
					4.55	113,636.44
					3.98	99,431.89
					3.41	85,227.33
					2.84	71,022.78
					2.84	71,022.78
				18	51.14	1,278,409.99
					100.00	2,500,001.76
		41			50.00	750,001.44
				1	16.67	250,000.48
				2	16.67	250,000.48
				3	16.67	250,000.48
					100.00	1,500,002.88

					%	
2		16			33.33	699,999.52
				FOF 4	12.67	265,999.82
					9.33	195,999.87
					8.00	167,999.88
					6.67	139,999.90
					6.67	139,999.90
					3.33	69,999.95
					3.33	69,999.95
					3.33	69,999.95
					3.33	69,999.95
					3.33	69,999.95
				2	6.67	139,999.90
					100.00	2,099,998.56
		1258			2.50	350,000.06
					2.00	280,000.05
					1.50	210,000.04
					1.50	210,000.04
					1.43	200,200.04
					1.10	154,000.03
					1.00	140,000.03
					1.00	140,000.03
					0.98	136,500.02
					0.80	112,000.02
					0.75	105,000.02
				172	85.45	11,962,302.19
					100.00	14,000,002.56
		12			10.00	209,999.86
					6.67	139,999.90
					6.67	139,999.90
					6.67	139,999.90
					6.67	139,999.90
					3.33	69,999.95

					%	
2					3.33	69,999.95
					3.33	69,999.95
					3.33	69,999.95
					3.33	69,999.95
				14	46.67	979,999.33
					100.00	2,099,998.56
					100.00	79,999,992.48
		1			12.72	508,905.28
					5.09	203,562.11
					5.09	203,562.11
					5.09	203,562.11
					5.09	203,562.11
					5.09	203,562.11
					3.05	122,137.27
					2.80	111,959.16
					2.54	101,781.06
					2.54	101,781.06
				20	50.89	2,035,621.13
					100.00	3,999,995.52
		1316			4.98	996,512.00
					2.49	498,256.00
					2.49	498,256.00
					1.99	398,604.80
					1.99	398,604.80
					1.49	298,953.60
					1.49	298,953.60
					1.49	298,953.60
					1.49	298,953.60
					1.49	298,953.60
				120	78.57	15,714,994.24
					100.00	19,999,995.84

%

					%	
2		1289			24.78	1,239,191.70
					14.41	720,460.29
					14.41	720,460.29
					5.76	288,184.12
					5.76	288,184.12
					4.90	244,956.50
					4.32	216,138.09
					4.32	216,138.09
					3.46	172,910.47
					3.46	172,910.47
				5	14.41	720,460.29
					100.00	4,999,994.40
		1		* 1	100.00	5,999,993.28
		800			100.00	4,499,999.52
		1			13.33	533,332.74
					9.52	380,951.95
					6.35	253,967.97
					6.35	253,967.97
					4.76	190,475.98
					4.76	190,475.98
					3.81	152,380.78
					3.49	139,682.38
					3.17	126,983.98
					3.17	126,983.98
				13	41.27	1,650,791.80
					100.00	3,999,995.52
		768		8	100.00	5,999,993.28
		1		1	100.00	9,499,993.92
						507,099,925.44
3					100.00	152,499,997.92

					%	
4					100.00	199,999,994.88
5		108			100.00	121,020,083.52
						1,524,519,993.60

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0755-83732888

0755-82237549

2017 3 31

			%		
1		654,593,573	29.58		-
2		104,144,208	4.71		-
3		67,983,492	3.07		-
4		54,200,981	2.45		-
5		29,450,900	1.33		-
6		20,435,775	0.92		-
7		20,000,198	0.90		-
8	-005L-CT001	17,580,112	0.79		-
9		16,496,766	0.75		-
10	-018L-FH001	15,568,945	0.70		-
		1,000,454,950	45.22	-	-

			%		
1		654,593,573	27.51		-
2		104,144,208	4.38		-
3		70,253,238	2.95		13,269,746
4	2017 510	54,495,613	2.29		54,495,613
5		54,200,981	2.28		-

			%		
6		30,066,397	1.26		-
7		29,450,900	1.24		-
8		27,706,588	1.16		-
9		22,000,198	0.92		-
10		21,929,824	0.92		21,929,824
		1,068,841,520	44.91	-	89,695,183

[redacted]

			%		%
‰		100,000	0.0045	100,000	0.0042

167,162,280

		%		%
	2,211,089,460	99.93	2,211,089,460	92.91
	1,538,478	0.07	168,700,758	7.09
	2,212,627,938	100.00	2,379,790,218	100.00

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1,492,220,406.67

167,162,280 1,325,058,126.67

2017 3 31

18,564,572,575.58

8.74% 9,438,671,739.21

18.78% 46.35% 4.05

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167,162,280 2,379,790,218

	2017 1-3 /2017.3.31	2016 /2016.12.31	2017 1-3 /2017.3.31	2016 /2016.12.31
	3.5914	3.4721	3.9662	3.8552
	0.0989	0.1461	0.0919	0.1358

2014 2015 2016

2017 1-3

1

	2017.3.31	2016.12.31	2015.12.31	2014.12.31
	1,707,235.22	1,696,910.59	1,588,283.56	1,421,578.54
	860,399.47	875,315.86	799,285.73	768,786.19
	794,645.13	768,242.26	734,464.25	603,069.20
	52,190.61	53,352.47	54,533.58	49,723.15
	846,835.74	821,594.74	788,997.83	652,792.35

2

	2017 1-3	2016	2015	2014
	473,969.18	1,505,911.43	1,693,792.37	2,457,411.71
	420,609.80	1,338,274.34	1,592,061.34	2,307,778.39
	28,687.70	37,357.59	9,504.64	27,726.04
	29,097.03	43,502.78	32,938.68	61,260.80
	22,203.43	34,191.05	26,072.05	55,073.00
	21,878.81	32,322.88	20,094.67	47,013.46
	20,657.37	24,748.37	-17,207.65	25,941.16

3

	2017 1-3	2016	2015	2014
	29,828.39	140,636.99	33,501.57	85,941.52

2014
67,108.23
56,030.25
36,555.26
14.12.31
0.79
0.41
50.46%
54.08%
3.16
2.92
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67.20
12.42

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	2017.3.31		2016.12.31		2015.12.31		2014.12.31	

170,742.70 10.00%

2

	2017.3.31		2016.12.31		2015.12.31		2014.12.31	
	412,808.12	47.98%	423,259.01	48.36%	323,977.46	40.53%	298,469.38	38.82%
	52,676.72	6.12%	58,305.18	6.66%	44,206.32	5.53%	48,981.82	6.37%
	26,955.99	3.13%	21,083.83	2.41%	11,723.75	1.47%	11,000.37	1.43%
	16,908.51	1.97%	20,262.64	2.31%	19,432.01	2.43%	21,812.42	2.84%
	47,476.67	5.52%	50,705.33	5.79%	37,075.27	4.64%	43,360.87	5.64%

52,651.65

2017 1-3		461,690.27	12,278.91	406.32	642.13	475,017.63
		97.19%	2.58%	0.09%	0.14%	100.00%
2016		1,485,199.18	20,712.25	1,391.84	1,261.61	1,508,564.89
		98.45%	1.37%	0.09%	0.08%	100.00%
2015		1,672,477.43	21,314.93	1,244.10	1,534.19	1,696,570.65
		98.58%	1.26%	0.07%	0.09%	100.00%
2014		2,430,330.38	27,081.34	1,381.56	2,077.57	2,460,870.84
		98.76%	1.10%	0.06%	0.08%	100.00%

97%

	2017 1-3		2016	
	159,820.99	34.62%	530,906.12	35.75%
	28,558.76	6.19%	127,367.26	8.58%
	119.28	0.03%	4,068.39	0.27%
	276,062.62	59.79%	828,219.61	55.76%
	6,609.08	1.43%	26,366.21	1.78%

6,609.08 1.78% 26,366.21 1.43% 6,609.08

	8,317.00	0.50%	4,791.25	0.20%
	1,045,217.89	62.50%	1,732,128.89	71.27%
	19,684.35	1.18%	21,782.97	0.90%
	-27,724.71	-1.66%	-39,198.75	-1.61%
	1,672,477.43	100.00%	2,430,330.38	100.00%

2,430,330.38

1,672,477.43 1,485,199.18 461,690.27

599,683.82 499,970.49 530,906.12 159,820.99

2015

2014 16.63%

2016

2%

2

	2017 1-3	2016	2015	2014
	11.38%	11.00%	5.77%	5.98%
	30.20%	26.51%	15.34%	21.10%
	12.89%	14.29%	11.11%	10.86%
	34.78%	24.40%	22.05%	36.87%
	0.12%	0.01%	0.11%	0.03%
	3.15%	12.88%	13.89%	20.04%
	0.00%	0.00%	0.00%	0.00%

2015 2014

2014 21.10% 2015 15.34% 2016

2017 2015

2015

2015

1%

	2017 1-3	2016	2015	2014
	2.81%	4.31%	2.82%	7.90%
	0.10	0.15	0.09	0.23

2017 1-3

2015 2014

2015

124,489.32

2016 2017

4

	2017.3.31	2016.12.31	2015.12.31	2014.12.31
	45.03%	45.27%	45.35%	50.46%
	50.40%	51.58%	50.32%	54.08%
	0.91	0.96	0.92	0.79
	0.60	0.62	0.58	0.41

1

2015 2014 2015

124,489.32

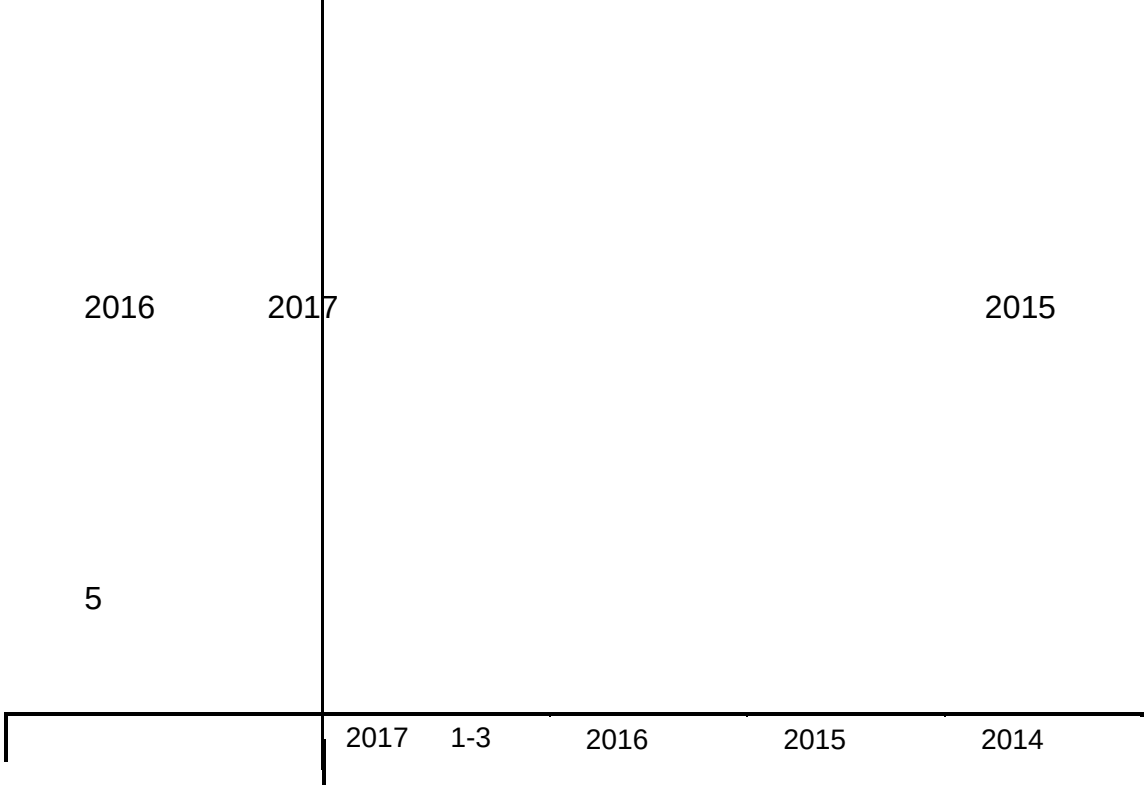
2016 2017 2015

2

2015 0.92 0.58 2014

2015

124,489.32


2016
2017
2015
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1-3
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2015
2014

	2017	1-3	2016	2015	2014	-

1,524,519,993.60

1			71,726.29	60,701.00
2			38,149.51	26,328.00
			22,607.60	15,096.00
			5,000.00	4,592.00
3			45,735.00	45,735.00
			183,218.40	152,452.00

1

600t/d

+ + + +

71,726.29

60,701.00

30

2

2

6,800t/a

5,000t/a

1,800t/a

38,149.51

26,328.00

36

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2

20,400t/a 2,000t/a

22,607.60

15,096.00

24

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167,162,280 2017 7 4

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